

## Sources, distribution, and acidity of sulfate–ammonium aerosol in the Arctic in winter–spring

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### ABSTRACT

We use GEOS-Chem chemical transport model simulations of sulfate–ammonium aerosol data from the NASA ARCTAS and NOAA ARCPAC aircraft campaigns in the North American Arctic in April 2008, together with longer-term data from surface sites, to better understand aerosol sources in the Arctic in winter–spring and the implications for aerosol acidity. Arctic pollution is dominated by transport from mid-latitudes, and we test the relevant ammonia and sulfur dioxide emission inventories in the model by comparison with wet deposition flux data over the source continents. We find that a complicated mix of natural and anthropogenic sources with different vertical signatures is responsible for sulfate concentrations in the Arctic. East Asian pollution influence is weak in winter but becomes important in spring through transport in the free troposphere. European influence is important at all altitudes but never dominant. West Asia (non-Arctic Russia and Kazakhstan) is the largest contributor to Arctic sulfate in surface air in winter, reflecting a southward extension of the Arctic front over that region. Ammonium in Arctic spring mostly originates from anthropogenic sources in East Asia and Europe, with added contribution from boreal fires, resulting in a more neutralized aerosol in the free troposphere than at the surface. The ARCTAS and ARCPAC data indicate a median aerosol neutralization fraction  $[\text{NH}_4^+]/([\text{NH}_4^+] + [\text{NO}_3^-])$  of 0.5 mol mol<sup>−1</sup> below 2 km and 0.7 mol mol<sup>−1</sup> above. We find that East Asian and European aerosol transported to the Arctic is mostly neutralized, whereas West Asian and North American aerosol is highly acidic. Growth of sulfur emissions in West Asia may be responsible for the observed increase in aerosol acidity at Barrow over the past decade. As global sulfur

**Abbreviations:** ARCTAS, Arctic Research of the Composition of the Troposphere from Aircraft and Satellites; ARCPAC, Aerosol, Radiation, and Cloud Processes affecting Arctic Climate.

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emissions decline over the next decades, increasing aerosol neutralization in the Arctic is expected, potentially accelerating Arctic warming through indirect radiative forcing and feedbacks.

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## 1. Introduction

Long-range transport of pollution from mid-latitudes is a major source of aerosols to the Arctic, with a winter–spring maximum known as Arctic haze (Rahn, 1981a; Quinn et al., 2009). Sulfate is the dominant component of this aerosol (Quinn et al., 2007), and it may range from highly acidic to fully neutralized depending on the availability of ammonia. The extent to which sulfate aerosol is neutralized has implications for aerosol radiative forcing (Martin et al., 2004), ice cloud nucleation (Abbatt et al., 2006; Eastwood et al., 2009; Baustian et al., 2010), and heterogeneous chemistry (Fan and Jacob, 1992; Fickert et al., 1999). Here we use the GEOS-Chem 3-D global chemical transport model (CTM) to interpret observations of sulfate–ammonium aerosol composition and acidity from the NASA ARCTAS (Arctic Research of the Composition of the Troposphere from Aircraft and Satellites) and NOAA ARCPAC (Aerosol, Radiation, and Cloud Processes affecting Arctic Climate) aircraft campaigns conducted in the North American Arctic in April 2008, using also ground-based measurements to place the aircraft data in a broader seasonal context. Our objective is to better understand the sources contributing to sulfate, ammonium, and aerosol acidity through the depth of the Arctic troposphere over the winter–spring season.

High aerosol concentrations in the Arctic in winter–spring reflect a combination of fast transport from mid-latitudes, reduced vertical mixing, and lack of precipitation (Barrie et al., 1981; Raatz and Shaw, 1984; Iversen and Joranger, 1985; Barrie, 1986; Shaw, 1995; Quinn et al., 2007; Garrett et al., 2010). The resulting aerosol radiative forcing may play a major role in driving climate change in the Arctic (Shindell and Faluvegi, 2009), where recent warming has been especially rapid (Trenberth et al., 2007). Scattering sulfate aerosols reflect incoming solar radiation, generally resulting in atmospheric cooling (Quinn et al., 2008). However, warming may result where the surface albedo is very high (Pueschel and Kinne, 1995) or if the sulfate is internally mixed with absorbing aerosol (Jacobson, 2001b). Hygroscopic growth of particles leads to absorption of terrestrial radiation, inducing a direct warming effect that can be particularly efficient during polar night (Ritter et al., 2005). Indirect effects of aerosols on cloud properties typically cause surface cooling (Quinn et al., 2008) but can also warm the surface through interactions with terrestrial radiation (Garrett and Zhao, 2006; Lubin and Vogelmann, 2006). The warming is expected to dominate during Arctic winter (Lubin and Vogelmann, 2007).

The chemical composition of the Arctic aerosol, in particular the extent to which sulfate aerosol is neutralized, has major implications for aerosol radiative forcing. Observations show that ammonia (NH<sub>3</sub>) is the main neutralizing agent. It is quantitatively absorbed by the acidic sulfate aerosol, titrating its acidity, reducing its hygroscopicity, and producing solid ammonium sulfate at low relative humidity. The resulting decrease in aerosol water content both reduces the direct radiative forcing of sulfate (Boucher and Anderson, 1995; Adams et al., 2001; Jacobson, 2001a; Martin et al., 2004; Wang et al., 2008b) and inhibits homogenous ice nucleation by liquid sulfate-containing particles (Koop et al., 2000). Solid ammonium sulfate particles can also play a role in cold cloud formation by serving as heterogeneous ice nuclei (Abbatt et al., 2006; Wise et al., 2009; Baustian et al., 2010). Hydrophobic dust particles coated with ammonium sulfate are efficient ice nuclei, whereas particles coated with pure sulfuric acid are not (Eastwood

et al., 2009). Sulfate aerosol neutralization also suppresses acid-catalyzed heterogeneous bromine reactions thought to be critical in driving ozone and mercury depletion events in Arctic spring (Fan and Jacob, 1992; Ayers et al., 1999; Fickert et al., 1999; Piot and von Glasow, 2008).

Most of the information on sulfate aerosol in the Arctic has come from surface sites. Early studies attributed sulfate in the North American Arctic to sulfur dioxide (SO<sub>2</sub>) sources in Europe and the Soviet Union based on metal tracers (Rahn, 1981b; Raatz and Shaw, 1984; Lowenthal and Rahn, 1985). More recently, Quinn et al. (2009) used the same methodology with data from Barrow, Alaska to show that despite large decreases in emissions and a decreasing trend in sulfate concentrations, the attribution of sulfate sources has not changed over the past 30 years. In contrast, data from Alert, Canada suggest a growing relative contribution from North America as the influence from Eurasian sources has decreased (Gong et al., 2010; Hirdman et al., 2010a). Eurasian emissions are still thought to dominate sulfate concentrations at both Barrow and Alert (Hirdman et al., 2010a,b).

Because the highly stable Arctic boundary layer is decoupled from the free troposphere in winter–spring, measurements at the surface are not representative of the tropospheric column. The sources of sulfate in the Arctic free troposphere are not as well understood as the sources at the surface, and source contributions may vary greatly with altitude (Shindell et al., 2008). Back-trajectory analyses of 1983–1992 aircraft data from the Arctic Gas and Aerosol Sampling Program (AGASP) implied dominant sulfate sources in both the boundary layer and the free troposphere from Europe and the former Soviet Union (Sheridan and Musselman, 1985; Herbert et al., 1989; Parungo et al., 1993). More recent aircraft measurements and model analyses from the Tropospheric Ozone Production about the Spring Equinox (TOPSE) campaign in February–May 2000 suggested dominant sulfate sources from Europe in the boundary layer and from North America in the mid-troposphere (Klonecki et al., 2003; Scheuer et al., 2003).

A number of CTM studies have investigated the sources of sulfate in the Arctic, with varying results. Simulations for the late 1980s and early 1990s showed a major contribution to Arctic sulfate from the Norilsk industrial site in Siberia. Christensen (1997) found Norilsk to be responsible for 30% of low-altitude sulfate in the Arctic in all seasons, with the remainder from western Europe and Russia. At higher altitudes, Russian and European sources were found to dominate (Christensen, 1997; Tarrasón and Iversen, 1998). More recent work has recognized the growing importance of East Asian emissions, especially in the free troposphere (Koch and Hansen, 2005; Shindell et al., 2008; Huang et al., 2010). While most models agree that Arctic sulfate can be attributed to a mix of anthropogenic sources from Europe, Russia, North America, and East Asia, they disagree considerably both on the relative importance of these sources and on the absolute concentrations of sulfate in the Arctic atmosphere. A recent multi-model sulfate intercomparison by Shindell et al. (2008) showed concentrations varying between models by a factor of 1000 in the Arctic free troposphere, with none of the models able to successfully reproduce observed surface sulfate concentrations or seasonality.

Little attention has been paid so far to the factors determining the neutralization of acidic sulfate aerosol by ammonia in the Arctic. Combined observations of aerosol sulfate and ammonium, providing a diagnostic of sulfate neutralization, are available from

a few Arctic surface sites. Ammonium concentrations also peak in winter–spring but the seasonal amplitude is less than for sulfate, resulting in peak aerosol acidity in winter (Toom-Sauntry and Barrie, 2002). While northern hemispheric NH<sub>3</sub> emissions are estimated to have increased by 20% over the last decade due to agricultural activity (Galloway et al., 2008; Clarisse et al., 2009), data from Barrow show decreasing Arctic ammonium concentrations over the last decade (Quinn et al., 2009). Concurrent decreases in sulfate are proceeding more slowly, resulting in increasing aerosol acidity at Barrow (Quinn et al., 2009). Data at Alert also show a long-term decline in ammonium, but proceeding less rapidly than for sulfate, leading to more neutralized aerosol (Hole et al., 2009). The differences between Barrow and Alert point to different source influences affecting different regions of the Arctic in a time-dependent way.

Data from the April 2008 ARCTAS and ARCPAC aircraft campaigns based in Fairbanks, Alaska (Brock et al., 2011; Jacob et al., 2010) provide unprecedented information on the vertical distribution of sulfate–ammonium aerosols through the depth of the troposphere in the North American Arctic. Both aircraft included extensive chemical payloads. We use here the GEOS-Chem CTM in combination with the aircraft data and seasonal observations from surface sites to probe the sources of sulfate–ammonium aerosols in the Arctic in winter–spring and the implications for aerosol acidity. Other studies have applied GEOS-Chem to interpretation of ARCTAS/ARCPAC observations of CO (Fisher et al., 2010), carbonaceous aerosols (Wang et al., 2011), HO<sub>x</sub> radicals (Mao et al., 2010), and mercury (Holmes et al., 2010).

## 2. GEOS-Chem simulation

We use the GEOS-Chem CTM version 8-02-03 (<http://geos-chem.org>) to simulate coupled aerosol–oxidant chemistry on the global scale. The model is driven by GEOS-5 assimilated meteorological data from the NASA Goddard Earth Observing System (GEOS) with 6-h temporal resolution, 47 vertical levels, and 0.5° × 0.667° horizontal resolution, regridded to 2° × 2.5° for input to GEOS-Chem. We initialize the model with a one-year spin-up followed by simulation of January–May 2008.

The GEOS-Chem coupled aerosol–oxidant simulation was originally described by Park et al. (2004), but the present version includes a number of updates. NH<sub>3</sub> and SO<sub>2</sub> emissions for the simulation period are compiled in Table 1 and shown in Fig. 1. Direct emission of anthropogenic sulfate is included as a small fraction of anthropogenic SO<sub>2</sub> (Chin et al., 2000) and is not included in Table 1. Open biomass burning emissions are from the Fire Location and Monitoring of Burning Emissions (FLAMBE) inventory (Reid et al., 2009), injected into the local planetary boundary layer, with SO<sub>2</sub> and NH<sub>3</sub> emissions scaled to carbon emissions using emission factors from Andreae and Merlet (2001). Unusually large Russian wildfires affected the North American Arctic during ARCTAS/ARCPAC (Warneke et al., 2009). Fisher et al. (2010) found that the FLAMBE emissions for CO needed to be reduced by 47% for Russia and 55% for Southeast Asia to match the aircraft observations and we apply the same corrections here for SO<sub>2</sub> and NH<sub>3</sub>. We also include SO<sub>2</sub> emission from both eruptive and non-eruptive (continuous degassing) volcanism. In winter–spring 2008, sustained eruptive activity was recorded at Karymsky and Shiveluch in Kamchatka and Cleveland in the Aleutian Islands. Non-eruptive activity was common throughout our simulation period at a number of volcanoes in Iceland, Kamchatka, and the Aleutian Islands.

Emitted SO<sub>2</sub> is oxidized to sulfate by the hydroxyl radical (OH) in the gas phase and by ozone (O<sub>3</sub>) and hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) in the aqueous phase at temperatures above 258 K. Unlike in previous

**Table 1**  
Global SO<sub>2</sub> and NH<sub>3</sub> emissions for 2008.<sup>a</sup>

Source	SO <sub>2</sub> , Tg S	NH <sub>3</sub> , Tg N
Anthropogenic <sup>b</sup>	64 (27)	39 (15)
Contiguous U.S. and Canada (south of 60°N)	8.0 (3.3) <sup>c,d</sup>	2.6 (0.82) <sup>d</sup>
Europe (south of 60°N)	6.9 (3.2) <sup>e</sup>	5.2 (2.3) <sup>e</sup>
West Asia and Siberia (south of 60°N)	3.3 (1.4)	1.2 (0.30)
East Asia	23 (9.7) <sup>f</sup>	21 (7.4) <sup>g</sup>
North American Arctic (60–90°N, 180–37.5°W)	0.016 (0.0067) <sup>d</sup>	0.0015 (0.0006) <sup>d</sup>
Eurasian Arctic (60–90°N, 37.5°W–180°E)	0.58 (0.25) <sup>e</sup>	0.14 (0.049) <sup>e</sup>
Rest of world	13 (5.3)	8.5 (3.8)
Ships	8.5 (3.5) <sup>h</sup>	–
Aircraft	0.070 (0.028) <sup>i</sup>	–
Open biomass burning <sup>j</sup>	2.0 (0.56) <sup>k</sup>	9.5 (2.3) <sup>k</sup>
Natural sources	31 (13)	14.3 (5.9)
Oxidation of biogenic dimethyl sulfide (DMS)	18 (8.1) <sup>l</sup>	–
Volcanism	13 (5.1) <sup>m</sup>	–
Ocean, soil, crop decomposition, wild animals	–	14.3 (5.9) <sup>n</sup>
Total	97 (41)	62 (23)

<sup>a</sup> Annual totals for 2008 used in GEOS-Chem. Totals for January–May are given in parentheses.

<sup>b</sup> Including fuel and industrial emissions of SO<sub>2</sub> and agricultural and fuel emissions of NH<sub>3</sub>. Fuel emissions are mostly from coal for SO<sub>2</sub> and from biomass (biofuel) for NH<sub>3</sub>. Default anthropogenic emission inventories are EDGAR 3.2 for SO<sub>2</sub> in 2000 (Olivier et al., 1999) and the Bouwman et al. (1997) implementation of the Global Emissions Inventory Activity (GEIA) for NH<sub>3</sub> in 1990 with seasonality from Park et al. (2004). These inventories are overwritten for specific regions as indicated in footnotes. See Fig. 3 for region definitions.

<sup>c</sup> U.S. anthropogenic SO<sub>2</sub> emissions are from the US Environmental Protection Agency National Emission Inventory for 1999 (EPA-NEI99, <http://www.epa.gov/ttnchie1/net/1999inventory.html>).

<sup>d</sup> Canadian anthropogenic emissions are from the Criteria Air Contaminants (CAC) inventory for 2005 (Environment Canada, [http://www.ec.gc.ca/pdb/cac/cac\\_home\\_e.cfm](http://www.ec.gc.ca/pdb/cac/cac_home_e.cfm)).

<sup>e</sup> European anthropogenic emissions are from the Cooperative Programme for Monitoring and Evaluation of the Long-range Transmission of Air Pollutants in Europe (EMEP) inventory for 2005 (Vestreng and Klein, 2002). These are also used for the European Arctic, while EDGAR 3.2 is used for the Asian Arctic in the absence of better information.

<sup>f</sup> Asian SO<sub>2</sub> emissions are from the NASA INTEX-B inventory for 2006 (Zhang et al., 2009) with seasonality based on monthly NO<sub>x</sub> emissions (Zhang et al., 2007b).

<sup>g</sup> East Asian annual NH<sub>3</sub> emissions are from Streets et al. (2003) with superimposed relative seasonal variation based on the length of the growing season for fertilizer use and on temperature and wind speed for everything else (L. Bouwman, personal communication).

<sup>h</sup> Ship emissions of SO<sub>2</sub> are based on EDGAR 2000 (Eyring et al., 2005a; Eyring et al., 2005b), overwritten over Europe by the EMEP inventory.

<sup>i</sup> Aircraft emissions of SO<sub>2</sub> are based on mean fuel consumption from the NASA Atmospheric Effects of Aviation Project (Baugheim et al., 1996) as described by Chin et al. (2000).

<sup>j</sup> Excluding biofuel, which is included in the anthropogenic source.

<sup>k</sup> Biomass burning emissions are from the FLAMBE inventory (Reid et al., 2009) corrected by Fisher et al. (2010), and are computed as described in the text.

<sup>l</sup> The source from DMS oxidation is as described by Park et al. (2004).

<sup>m</sup> Volcanic SO<sub>2</sub> emissions are from the AEROCOM inventory (Diehl, 2009). Emissions from continuous (non-eruptive) volcanic degassing are injected at the altitude of the volcanic crater. Eruptive emissions are emitted evenly over the top third of the volcanic plume, as described by Chin et al. (2000).

<sup>n</sup> Natural NH<sub>3</sub> emissions (ocean, soil, crop decomposition, and wild animals) are from Bouwman et al. (1997).

versions of the model (Park et al., 2004; Alexander et al., 2009), cloud volume fraction (used to determine where aqueous SO<sub>2</sub> chemistry occurs) and cloud liquid water content (used to compute the aqueous SO<sub>2</sub> chemistry reaction rates) are now taken directly from the GEOS-5 assimilated meteorological fields for each grid box. Ammonia and nitric acid are partitioned between the gas and the sulfate–nitrate–ammonium aerosol phases using the ISO-RROPIA II thermodynamic equilibrium model (Fountoukis and Nenes, 2007). Nitrate was usually negligible compared to sulfate

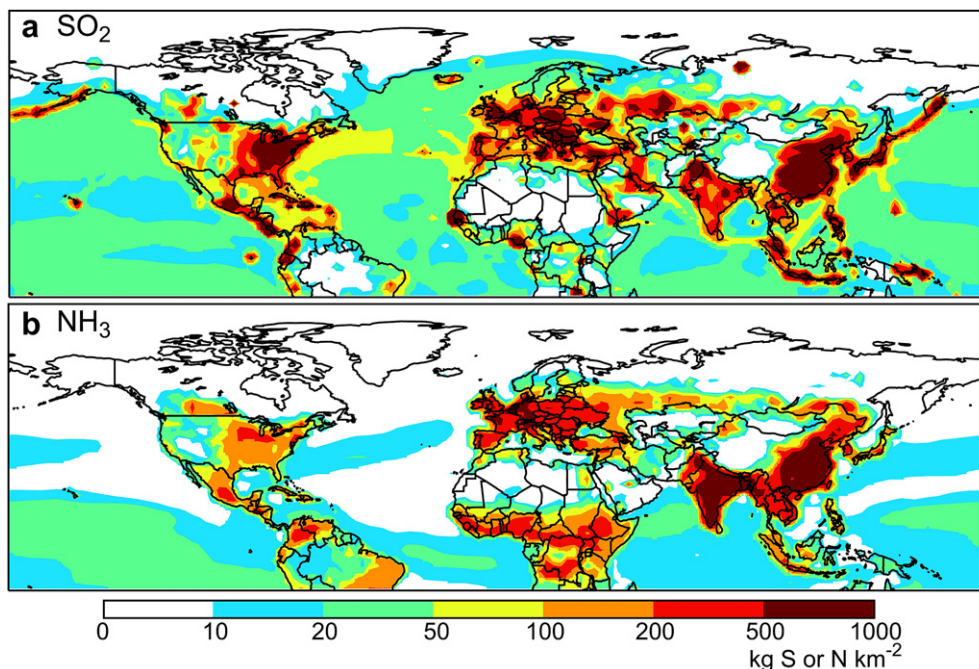


Fig. 1. January–May 2008 GEOS-Chem emissions of (a)  $\text{SO}_2$  ( $\text{kg S km}^{-2}$ ) and (b)  $\text{NH}_3$  ( $\text{kg N km}^{-2}$ ), averaged over the  $2^\circ \times 2.5^\circ$  model grid. Regional totals are given in Table 1.

in ARCTAS/ARCPAC, both in the observations and the model, owing to the general acidic nature of the aerosol. We discuss the nitrate data briefly in Section 6.

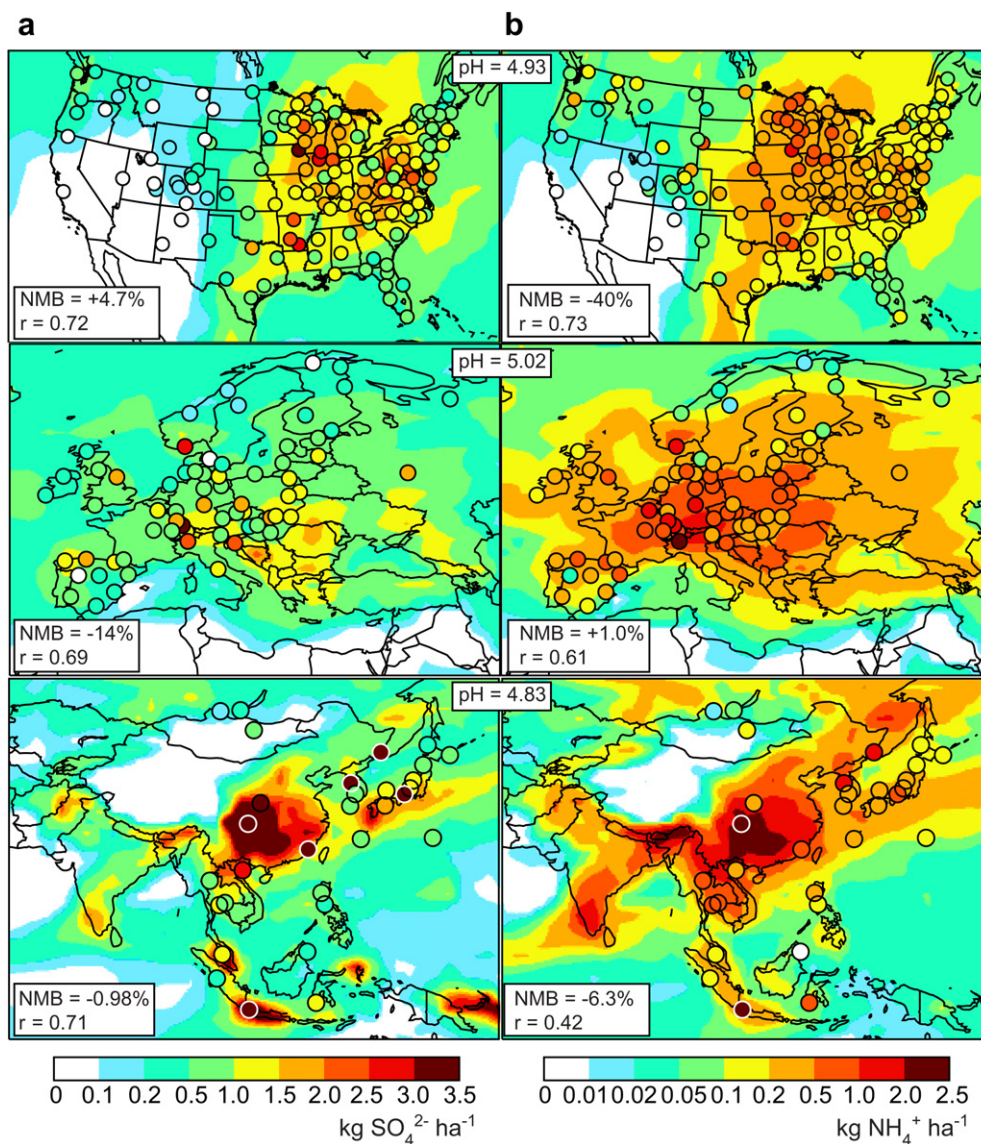
Aerosol is removed by dry and wet deposition. Dry deposition in GEOS-Chem follows a resistance-in-series scheme (Wesely, 1989) originally described by Wang et al. (1998). Over snow and ice surfaces, we impose an aerosol dry deposition velocity of  $0.03 \text{ cm s}^{-1}$  based on eddy-covariance flux measurements by Nilsson and Rannik (2001) and consistent with earlier estimates (Ibrahim et al., 1983; Duan et al., 1988). Wet deposition in the model is based on the scheme described by Liu et al. (2001) with improved representation of scavenging by ice clouds and snow as described by Wang et al. (2011). We assume 100% sulfate and ammonium incorporation into liquid cloud droplets and rime ice for warm and mixed-phase clouds ( $T > 258 \text{ K}$ ) and no incorporation into ice crystals for cold clouds ( $T < 258 \text{ K}$ ). We also use a higher below-cloud scavenging efficiency for snow than for rain (Murakami et al., 1983). Gaseous  $\text{NH}_3$  in the model is efficiently scavenged by liquid precipitation but has a retention efficiency of only 0.05 upon riming (which drives precipitation in mixed-phase clouds) and is not scavenged at all in cold clouds (Wang et al., 2008a). A sensitivity study assuming complete scavenging of gaseous  $\text{NH}_3$  in cold and mixed-phase clouds showed no significant difference in the Arctic relative to the standard simulation because most of the total  $\text{NH}_x$  ( $\equiv \text{NH}_3 + \text{NH}_4^+$ ) in the Arctic is present as ammonium.

### 3. Testing emission inventories with wet deposition flux data

$\text{SO}_2$  and  $\text{NH}_3$  emissions in North America, Europe, and East Asia are potential major sources of sulfate and ammonium aerosol to the Arctic. The corresponding emission inventories used in the model can be tested by comparison with wet deposition flux data over these source continents. Because most of what is emitted is deposited near the source, wet deposition data provide a better constraint on emission than concentration data. While there are large uncertainties associated with modeled precipitation

(Dentener et al., 2006; Stephens et al., 2010), we expect the effect of precipitation errors to be small since we consider monthly mean flux data and continental-scale statistics. We used for this analysis data from the ensemble of sites of the U.S. National Atmospheric Deposition Program (NADP; National Atmospheric Deposition Program, 2010), the Cooperative Programme for Monitoring and Evaluation of the Long-range Transmission of Air Pollutants in Europe (EMEP; EMEP/CCC, 2010), and the Acid Deposition Monitoring Network in East Asia (EANET; <http://www.eanet.cc/product/index.html>). The EANET network includes a large number of sites labeled as urban, and these were excluded from the comparison as potentially non-representative.

Fig. 2 compares distributions of observed and modeled sulfate and ammonium wet deposition fluxes in April 2008, along with correlation coefficients ( $r$ ) and normalized mean biases ( $\text{NMB} = 100\% \times [\sum_i (M_i - O_i) / \sum_i O_i]$ , where  $M_i$  and  $O_i$  are the modeled and observed values, respectively, and the summation is over all sites). The GEOS-Chem sulfate simulation shows good agreement with deposition observations over the U.S. ( $r = 0.72$ ,  $\text{NMB} = +4.7\%$ ), consistent with prior model evaluations for this region (Park et al., 2004; Liao et al., 2007; Pye et al., 2009; Drury et al., 2010). Ammonium deposition over the U.S. shows good agreement with NADP observations at low values but a low bias for deposition greater than  $0.5 \text{ kg NH}_4^+ \text{ ha}^{-1}$  ( $r = 0.73$ ,  $\text{NMB} = -40\%$ ). As seen in Fig. 2b, this bias is driven by the agricultural upper Midwest where spring emissions are apparently underestimated. Because transport from North America to the Arctic in spring is mostly from warm conveyor belts over the U.S. east coast (Stohl, 2006; Fisher et al., 2010), we expect errors over the upper Midwest to have limited impact on our Arctic simulation. Over Europe, the model–observation agreement is best at low sulfate values, with model underestimates of high sulfate concentrations observed at a few sites ( $r = 0.69$ ,  $\text{NMB} = -14\%$ ). Simulated ammonium deposition over Europe agrees well with observations ( $r = 0.61$ ,  $\text{NMB} = +1.0\%$ ). Wet deposition over East Asia is on average too low in GEOS-Chem for both sulfate ( $r = 0.85$ ,  $\text{NMB} = -40\%$ ) and ammonium ( $r = 0.60$ ,  $\text{NMB} = -20\%$ ). This bias is driven by a few sites with extremely high



**Fig. 2.** (a) Sulfate and (b) ammonium wet deposition fluxes over North America, Europe, and East Asia in April 2008. Model results (background) are compared to observations (circles) from the NADP, EMEP, and EANET networks. Major outliers in the observations (sulfate deposition > 4 kg ha<sup>-1</sup>, ammonium deposition > 1.5 kg ha<sup>-1</sup>) are highlighted in white trim. Correlation coefficients ( $r$ ) and normalized mean biases (NMB), computed after removing major outliers, are given inset. Mean observed pH for each network (computed by averaging the mean precipitation-weighted  $[H^+]$  at each site) is also given inset.

deposition values (2–3 kg NH<sub>4</sub><sup>+</sup> ha<sup>-1</sup>, 4–17 kg SO<sub>4</sub><sup>2-</sup> ha<sup>-1</sup>), highlighted in white trim in Fig. 2. When these sites are removed from the comparisons the NMB improves to -0.98% ( $r = 0.71$ ) for sulfate and -6.3% ( $r = 0.42$ ) for ammonium. Overall, our SO<sub>2</sub> and NH<sub>3</sub> emission inventories appear unbiased except for the NH<sub>3</sub> under-estimate in the upper Midwest U.S.

In Table 2 we diagnose the acidity of emissions originating from each region as the NH<sub>3</sub>/SO<sub>2</sub> emission ratio and the NH<sub>4</sub><sup>+</sup>/SO<sub>4</sub><sup>2-</sup> wet deposition flux ratio. Some difference between these two measures of acidity is expected because of differences in dry deposition, wet scavenging efficiencies, and source locations for SO<sub>2</sub> and NH<sub>3</sub>. We do not include NO<sub>x</sub> emissions and nitrate wet deposition in this analysis since nitric acid (unlike sulfuric acid or bisulfate) generally does not partition into the aerosol unless neutralized by a basic counterion and therefore does not contribute significantly to aerosol acidity. The model emission ratios in Table 2 indicate that emissions in the U.S. lead to highly acidic aerosol, whereas they promote fully neutralized aerosol in Europe and East Asia, at least on the continental scale. While SO<sub>2</sub> emissions in our inventory are

similar in Europe and the U.S., NH<sub>3</sub> emissions are much lower in the U.S. (Table 1), consistent with recent estimates (Reis et al., 2009). This difference reflects higher emissions associated with livestock housing, storage, and grazing in Europe (Beusen et al., 2008).

**Table 2**  
Sulfate neutralization ratios by source region.<sup>a</sup>

Region <sup>b</sup>	Emissions $E_{NH_3}/2E_{SO_2}$ (mol mol <sup>-1</sup> ) <sup>c</sup>	Wet deposition (source region) [NH <sub>4</sub> <sup>+</sup> ]/(2[SO <sub>4</sub> <sup>2-</sup> ]) (mol mol <sup>-1</sup> ) <sup>d</sup>	
		Observations	Model
East Asia	1.2	0.76	0.87
Europe	1.3	1.4	1.7
North America	0.29	0.76	0.45
West Asia	0.23	—	—

<sup>a</sup> Values are for April 2008.

<sup>b</sup> Region definitions are given in Fig. 3.

<sup>c</sup> Ratio of regional emissions as given in Table 1, for April only.

<sup>d</sup> Ratios of mean precipitation-weighted concentrations at the NADP, EMEP, and non-urban EANET sites.

The differences in emission ratios are reflected in the simulated and observed molar  $\text{NH}_4^+/\text{SO}_4^{2-}$  wet deposition ratios for Europe and the U.S. (Table 2). Over East Asia, wet deposition at EANET sites appears moderately acidic in both the observations ( $[\text{NH}_4^+]/2[\text{SO}_4^{2-}] = 0.76$ ) and the model ( $[\text{NH}_4^+]/2[\text{SO}_4^{2-}] = 0.87$ ), whereas the continental emissions suggest full neutralization. The EANET sites are not, however, representative of the East Asian region as a whole, in large part because there are no observational sites over agricultural regions in India where the  $\text{NH}_3/\text{SO}_2$  emission ratio is particularly high (Fig. 1). GEOS-Chem deposition fluxes averaged over the whole region show aerosol deposition to be as neutralized as expected from the emissions. The  $\text{NH}_4^+/\text{SO}_4^{2-}$  ratios indicate more acidic deposition over North America ( $[\text{NH}_4^+]/2[\text{SO}_4^{2-}] = 0.76$ ) than over Europe ( $[\text{NH}_4^+]/2[\text{SO}_4^{2-}] = 1.4$ ). Observed pH shows less regional variation, with average deposition only marginally more acidic over the U.S. (pH = 4.93) than over Europe (pH = 5.02). This is due to higher wet deposition fluxes of nitrate (from both aerosol nitrate and gas-phase nitric acid) over Europe. The wet deposition data also indicate partial neutralization by alkaline dust over all three continents. Aircraft observations from ARCPAC indicate that dust particles in the Arctic are generally externally mixed with sulfate, with sulfate mostly in the fine mode ( $<0.7 \mu\text{m}$ ) and dust mostly in the coarse mode (Brock et al., 2011). Further, observations of Asian outflow from the INTEX-B aircraft campaign show the dominant sulfate counterion to be ammonium, not dust (McNaughton et al., 2009; Fairlie et al., 2010). We thus expect that mid-latitude dust would not neutralize the acidity of the submicron sulfate aerosol in the Arctic.

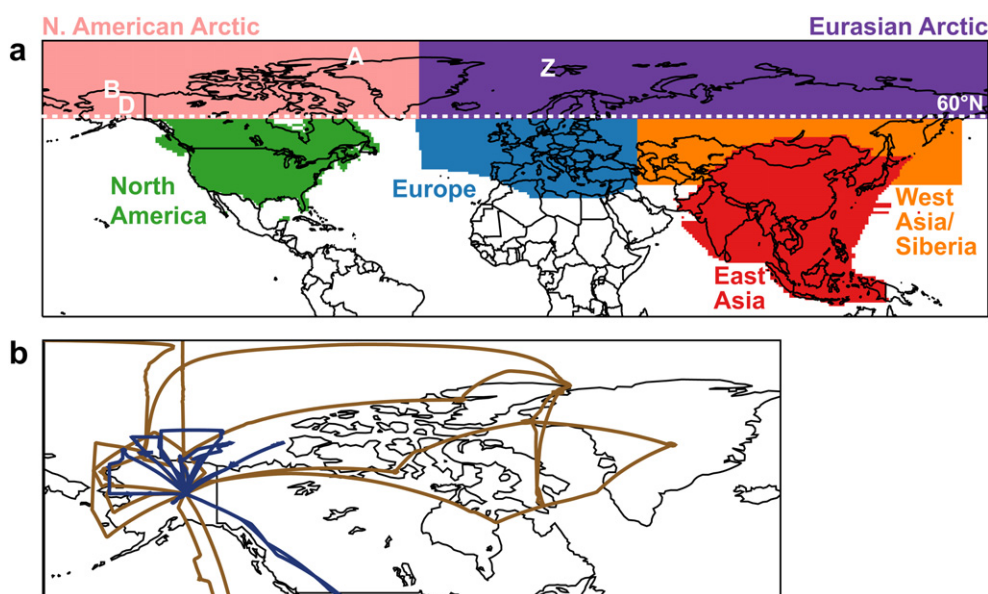
#### 4. Simulation and source attribution of Arctic sulfate

##### 4.1. Aircraft data

The NASA ARCTAS campaign (1–19 April 2008) is described in detail by Jacob et al. (2010). We use here data collected onboard the DC-8 aircraft that was based in Fairbanks, Alaska and covered a large swath of the North American Arctic over 74 flight hours. All concentrations are for STP conditions (1 atm, 273 K). Speciated

aerosol composition data were obtained with an Aerosol Mass Spectrometer (AMS) (Dunlea et al., 2009) measuring submicron aerosol mass and with the SAGA instrumentation package (Dibb et al., 2003) measuring fine aerosol sulfate ( $<1 \mu\text{m}$ ) using a mist chamber/ion chromatograph (MC/IC) and bulk sulfate, ammonium, nitrate, calcium, and sodium using filters analyzed by ion chromatography. Speciated aerosol data were also collected during the NOAA ARCPAC campaign (3–23 April 2008) using an AMS onboard the WP-3D aircraft also based in Fairbanks, Alaska (Brock et al., 2011). Flight tracks for ARCTAS and ARCPAC are shown in Fig. 3b. The ARCPAC flights covered much less area than ARCTAS, spent more time in the boundary layer, and frequently sampled biomass burning and pollution plumes.

For comparison to the aircraft data, the GEOS-Chem simulation is sampled along the flight track at the times and locations of the aircraft observations, averaging over either the instrument sampling time or the three-dimensional model grid and time step (Section 2), whichever is coarser. Observations outside the Arctic region (south of  $60^\circ\text{N}$ ) and those from the stratosphere (diagnosed as  $[\text{O}_3]/[\text{CO}] > 1.25 \text{ mol mol}^{-1}$ ; Hudman et al., 2008) are excluded. Data from the first two ARCTAS flights (1 and 4 April 2008) are also excluded due to apparent problems with the AMS instrument. Fine-structure plumes are not well simulated by Eulerian CTMs due to numerical diffusion and displacement (Rastigejev et al., 2010). We thus exclude strong biomass burning plumes as diagnosed by observed acetonitrile ( $\text{CH}_3\text{CN}$ ) in excess of 225 pptv (Heald et al., 2006; Hudman et al., 2007, 2008), amounting to 3% of the ARCTAS data and 10% of the ARCPAC data. We use a high  $\text{CH}_3\text{CN}$  threshold for this purpose in order to avoid removing biomass burning contributions to background aerosol concentrations, which should be captured by the CTM. We also exclude observations likely to be contaminated by local pollution in Alaska, diagnosed as points below 4 km altitude and within  $0.5^\circ$  of Fairbanks or the Prudhoe Bay oil field. This filter excludes 20% of the ARCPAC data and less than 2% of the ARCTAS data. Finally, we remove one major outlier from each campaign with sulfate in excess of  $60 \text{ nmol m}^{-3}$  STP. These two outliers represent singularly large concentrations for which we have no explanation.



**Fig. 3.** (a) Regions used for source attribution of sulfate–ammonium aerosol in the Arctic. Model sensitivity simulations were conducted with anthropogenic emissions from each of these regions shut off individually. Additional sensitivity simulations were conducted shutting off global ship, biomass burning and natural emissions. Also shown are the locations of surface stations used for model evaluation: Alert (A), Barrow (B), Denali (D), and Zeppelin (Z). (b) Flight tracks for ARCTAS (brown) and ARCPAC (dark blue). For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.

Sulfate in the observations includes a contribution from primary sea salt sulfate ( $\text{ssSO}_4^{2-}$ ) that is not included in GEOS-Chem. We subtract this contribution from the SAGA filter observations by using a  $[\text{ssSO}_4^{2-}]/[\text{Na}^+]$  mass ratio of 0.252 (Calhoun et al., 1991). Primary sea salt sulfate estimated in this way accounts for only a small fraction of total bulk sulfate ( $1.5 \pm 2.9\%$  on average) and peaks in the boundary layer ( $2.6 \pm 3.7\%$  on average below 2 km). No sodium data are available from the AMS measurements, but we assume the sea salt contribution to be negligible. This assumption is reasonable because sodium sulfate does not volatilize rapidly at the temperatures used by the AMS instrument and because these data are only for submicron aerosol while sea salt aerosol is mostly supermicron.

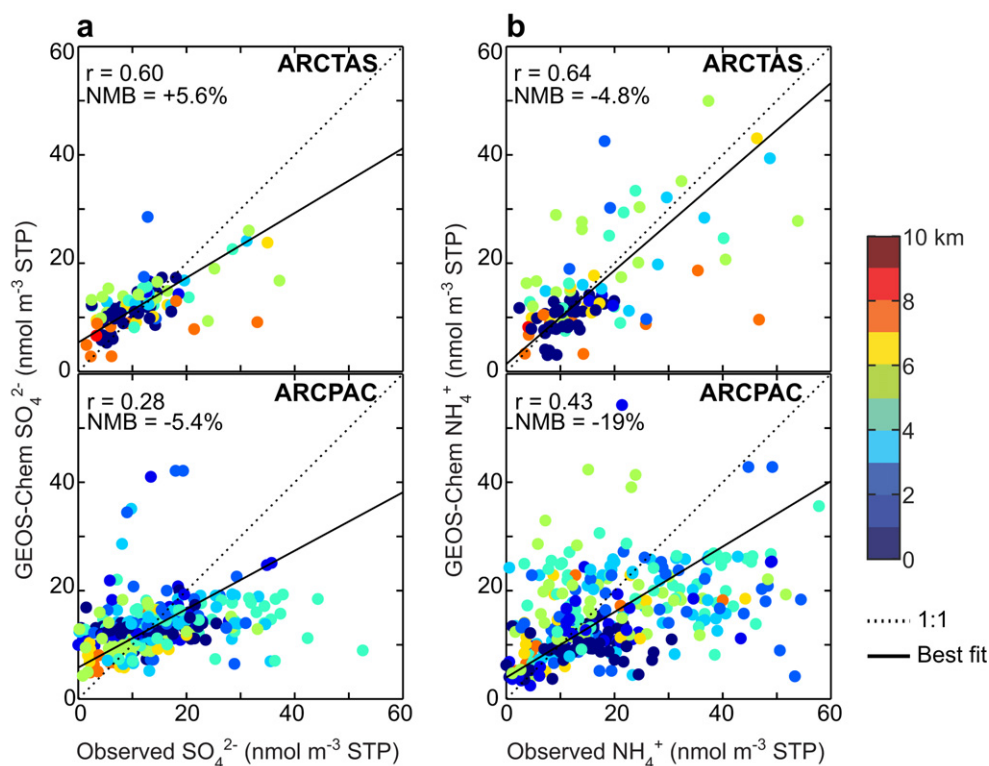
We compared the three ARCTAS sulfate datasets using reduced-major-axis regression (Hirsch and Gilroy, 1984). Submicron sulfate measured by the SAGA MC/IC and by the AMS show good agreement ( $r = 0.88$ , slope = 1.0). SAGA bulk sulfate from the filters generally agrees well with the submicron measurements (AMS:  $r = 0.80$ , slope = 1.1; SAGA MC/IC:  $r = 0.77$ , slope = 1.1), except during flights on 5 and 8 April 2008 when bulk sulfate concentrations from the SAGA filters were two to three times higher than measured by the other instruments (AMS: slope = 2.1; SAGA MC/IC: slope = 2.8). A large contribution from supermicron sulfate aerosol may arise from sulfate uptake on dust particles (Dibb et al., 2003); however, the data from those two flights were not correlated with dust tracers. We therefore exclude sulfate observations from these two flights from comparisons with GEOS-Chem. For all subsequent ARCTAS analysis, we use the SAGA filter observations due to the similar information content of the SAGA and AMS data.

Fig. 4a shows scatter plots of modeled versus observed sulfate for ARCTAS and ARCPAC. The model has some success in

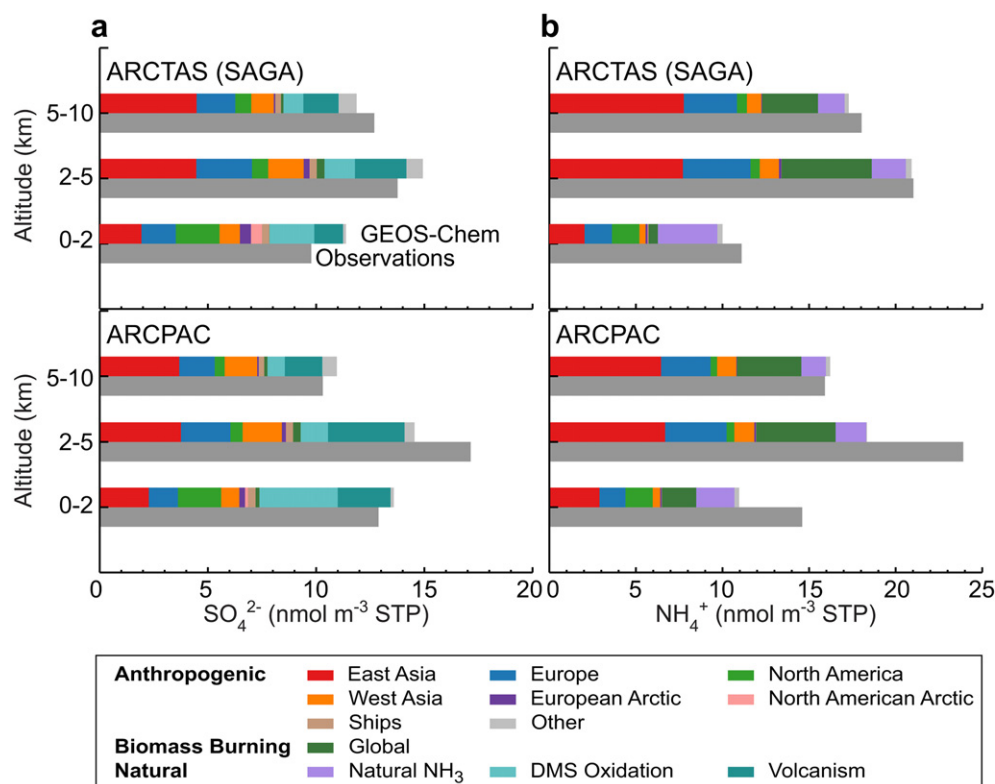
reproducing the variability in the ARCTAS data ( $r = 0.60$ ), with a mean model overestimate of +5.6% and model underestimates at high sulfate concentrations. Model representation of variability is much poorer for ARCPAC ( $r = 0.28$ ), although the mean bias is again small (−5.4%). The small cluster of model points with values in excess of  $30 \text{ nmol m}^{-3}$  STP reflects a misplaced volcanic plume; without these points the correlation coefficient increases to  $r = 0.47$ . We conducted model sensitivity simulations to try to understand the poor simulation of variability in ARCPAC but could not relate it to a specific source or conditions, and could not find corrections that would not compromise the simulation of ARCTAS or surface data. The observations do not appear biased as there was internal consistency between the physical, optical and chemical measurements made during ARCPAC (Brock et al., 2011). Our best explanation is that the small sampling domain and time spent in plumes during ARCPAC makes model simulation of the observed variability difficult, especially at the  $2^\circ \times 2.5^\circ$  resolution used here. The ARCTAS data cover a much larger domain and we view them as more representative.

Fig. 5a shows the mean vertical distributions of observed and modeled sulfate concentrations along the aircraft flight tracks. Model values are decomposed into the contributions from individual sources and regions, as diagnosed by a series of sensitivity simulations with individual sources shut off either globally (ships, biomass burning, natural sources) or for each region shown in Fig. 3a (anthropogenic sources). There is some nonlinearity associated with titration of  $\text{H}_2\text{O}_2$  in clouds (Chin and Jacob, 1996), the effects of which are included in the relatively small “other” term.

We find that there is little mean vertical gradient of sulfate concentrations in either the observations or the model, and that a diversity of sources contributes to sulfate burdens in the North



**Fig. 4.** Comparison of modeled and observed (a) sulfate and (b) ammonium during ARCTAS (top) and ARCPAC (bottom), colored by altitude. Biomass burning plumes, stratospheric air, local pollution, observations south of  $60^\circ\text{N}$ , and major outliers have been removed from the comparisons as described in the text. All concentrations are reported in  $\text{nmol m}^{-3}$  at standard temperature and pressure (STP). Also shown are the 1:1 lines (dashed) and reduced-major-axis regression lines (solid). Correlation coefficients ( $r$ ) and normalized mean biases (NMB) are given inset. There are many more comparison points for ARCPAC than ARCTAS, despite fewer flight hours and smaller sampling domain, because of the long integration time (4–24 min) of the SAGA filters on the ARCTAS aircraft.



**Fig. 5.** Mean vertical distributions of (a) sulfate and (b) ammonium during ARCTAS (top) and ARCPAC (bottom). Dark gray bars show mean observed concentrations, and colored bars show mean model results. Modeled concentrations are decomposed into contributions from various sources as indicated in the legend. Biomass burning refers to open biomass burning; biofuel is included in the anthropogenic source. The “other” anthropogenic term also includes minor non-linear effects in source attribution (see text). Biomass burning plumes, stratospheric air, local pollution, observations south of 60°N, and major outliers have been removed from the data as described in the text.

American Arctic at all altitudes. Individual source contributions in the model show much more vertical structure than total sulfate. Below 2 km we find that East Asian, European, and North American anthropogenic sources have comparable influences, each contributing 10–20% of modeled sulfate. The North American influence is limited to the lower troposphere, while European and East Asian contributions are substantial throughout the column. Above 2 km, East Asian emissions are dominant, although still accounting for less than half of the mean total sulfate burden.

Natural sources also make substantial contributions to total sulfate. Volcanic sources account for 12–24% of the modeled sulfate at all altitudes, with peak contribution in the mid-troposphere. The volcanic influence arises primarily from the Aleutian Islands and Kamchatka, where non-eruptive volcanism is active throughout our simulation period. The volcanic source is discharged directly in the free troposphere and is thus less affected by deposition than surface sources (Chin and Jacob, 1996). Dimethyl sulfide (DMS) oxidation is a major source in the lower troposphere, responsible for up to 25% of sulfate below 2 km in the aircraft flight domain during ARCTAS and ARCPAC. We find little contribution ( $\leq 2\%$ ) from open burning to sulfate along the aircraft flight tracks. Recent analyses show sulfate enhancements of up to 30% in biomass burning plumes encountered during both ARCPAC (Warneke et al., 2010) and ARCTAS (Kondo et al., 2011), suggesting that  $\text{SO}_2$  emissions from fires in Russia may be larger than assumed in current inventories. Even with increased fire emissions, however, the global  $\text{SO}_2$  source would still be dominated by anthropogenic emissions, and the impact of burning on Arctic sulfate would be small. Furthermore, because Asian anthropogenic emissions and Russian fire emissions follow similar pathways of uplift and transport (Fisher et al., 2010), mixing of anthropogenic sulfate with biomass

burning plumes en route to the Arctic is likely and may explain the high observed sulfate concentrations in these plumes.

Roughly 10% of the model sulfate along the flight tracks originates from emissions in West Asia and Southern Siberia (hereafter abbreviated as “West Asia” as most of the emissions are in that part of the region, see Fig. 1). The region includes major industrial areas and oil fields in southwestern Russia and Kazakhstan and represents a sizable source of  $\text{SO}_2$  that has likely been growing in recent years based on energy and economic indicators (Grammelis et al., 2006; IEA Statistics, 2009). Emissions from this source are subject to rapid and direct transport to the Arctic around the Siberian high pressure system (Raatz and Shaw, 1984), still active in April during the ARCTAS/ARCPAC period (Fuelberg et al., 2010).

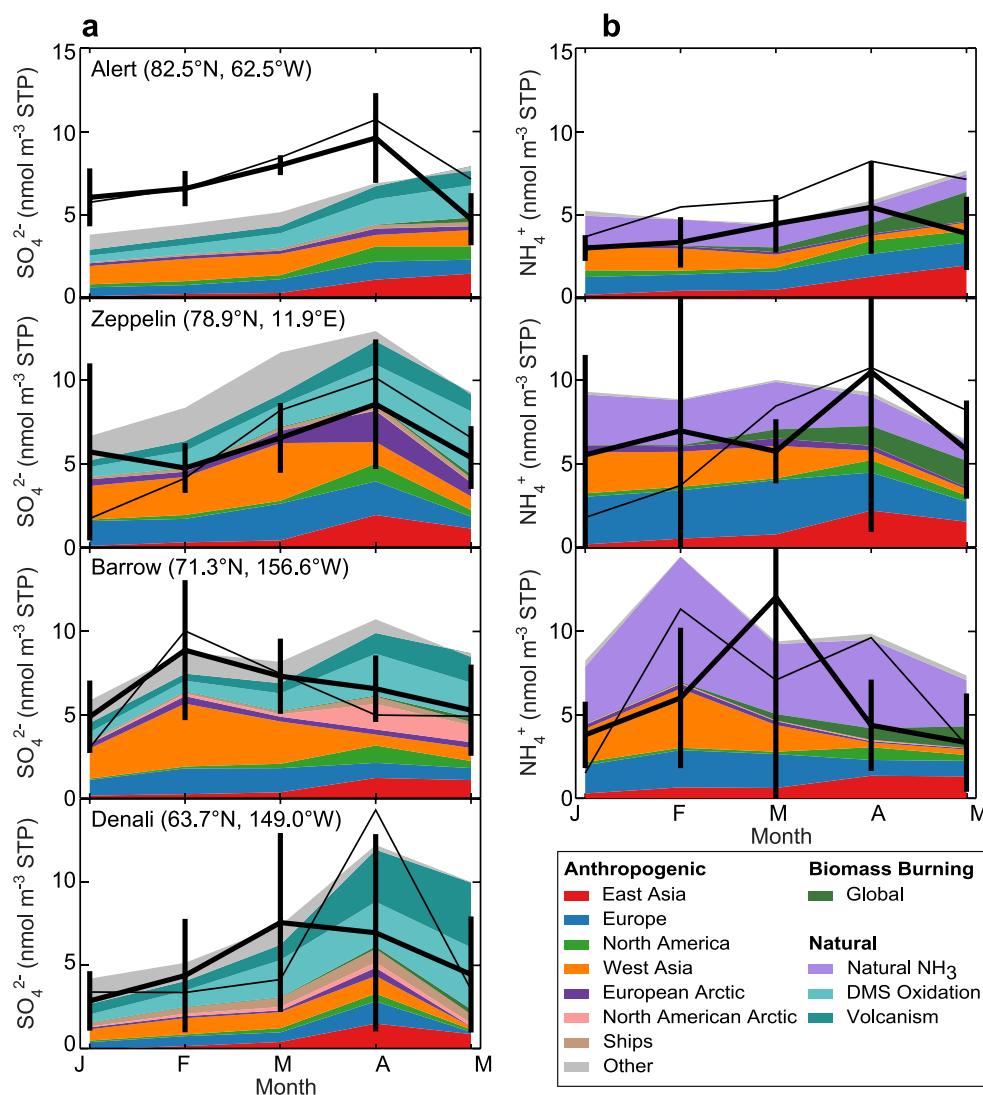
Recent studies have suggested a large influence on Arctic sulfate from smelters at Norilsk and the Kola Peninsula (Yamagata et al., 2009; Hirdman et al., 2010a,b) on the basis of backward trajectories and Lagrangian particle dispersion simulations. In our simulation, these sources (included in our European Arctic region) provide negligible contributions at all altitudes to observed sulfate over the North American Arctic. Indeed, they contribute less than 10% to mean concentrations over the High Arctic ( $>75^\circ\text{N}$ ), even in surface air in winter. This result is not inherently inconsistent with the back-trajectory calculations – the sampled air masses may have encountered emissions from West Asia prior to the 5-day period covered by the back-trajectories. Nor does it reflect a major discrepancy with the Lagrangian simulations – examination of the statistical source maps developed by Hirdman et al. (2010a) reveals a hot-spot of sensitivity in West Asia that is larger than the sensitivity at Norilsk in winter and spring. Further, our finding of limited influence from Norilsk agrees with analyses from the 1980s showing on the basis of trace element signatures that the Norilsk source had no discernible impact on

sulfate at Barrow (Rahn et al., 1983). Since that time, emissions from Norilsk have shown only modest growth, and those from the Kola peninsula have decreased (Boyd et al., 2009; Prank et al., 2010). More recent evidence of limited impact from northern Russian sources comes from a statistical analysis of Arctic snow samples by Hegg et al. (2010) showing that a pollution source associated with high metal loadings characteristic of smelters was responsible for less than 20% of observed sulfur.

#### 4.2. Surface data

Surface aerosol data provide a seasonal context for the ARCTAS and ARCPAC results. Fig. 6a shows monthly mean January–May sulfate concentrations at four surface sites: Alert, Zeppelin, Barrow, and Denali (locations shown in Fig. 3a). Observations for both 2008 (thin line) and the 2004–2008 five-year mean (thick line) are shown; the 2008 data are generally representative of the five-year record. Other Alaskan sites from the IMPROVE network (Malm

et al., 1994) are not shown as they are located near Denali and have similar concentrations. Sampling frequency varies by site. At Alert and Zeppelin, sampling is continuous with filters changed daily (Zeppelin) or weekly (Alert). At Denali, 24-h filter samples are collected every three days. Sampling times at Barrow vary by time of year, with 24-h samples in winter when aerosol concentrations are highest. The Barrow data are subject to large data gaps due to both occasional equipment malfunction and sector-controlled sampling that prevents collection of aerosol contaminated by sources in the town of Barrow. These data gaps, often of a week or more, may introduce biases in the monthly means. In 2008, 24-h filter samples were collected for 6 days in January, 7 in February, 15 in March, 5 in April, and 18 in May. Also shown in Fig. 6a are modeled sulfate concentrations at each site, decomposed into contributions from various sources. For comparison to the surface data, GEOS-Chem is sampled in the lowest model level of the grid box containing the site. Modeled monthly means are calculated based on averages over all days in each month (not just days with valid samples).



**Fig. 6.** January–May monthly mean (a) sulfate and (b) ammonium concentrations observed and modeled at Arctic surface sites. No ammonium data are available at Denali or other IMPROVE sites. The thick black lines show the observed 2004–2008 monthly means and interannual standard deviations; 2008 monthly means are shown as thin lines. Modeled concentrations are subdivided into contributions from individual sources as indicated in the legend. Biomass burning refers to open biomass burning; biofuel is included in the anthropogenic source. The “other” anthropogenic term also includes minor non-linear effects in source attribution (see text). Data sources are as follows: Alert – Environment Canada (Gong et al., 2010); Zeppelin – EMEP (<http://ebas.nilu.no>); Barrow – the NOAA Pacific Marine Environmental Laboratory (<http://saga.pmel.noaa.gov/data/>); Denali – the IMPROVE network (Malm et al., 1994).

We find that the surface data in April 2008 are consistent across sites (except for Barrow) and with the aircraft data, with mean concentrations of 10–14 nmol m<sup>-3</sup> STP. Relative to the 2004–2008 mean, Barrow was lower than average in April 2008 (in contrast to the other sites), which could reflect either a sampling bias or the influence of sector-controlled sample collection. GEOS-Chem has moderate but non-systematic biases relative to April 2008 observations at all sites and is close to or within the interannual variability of the April means. Model source attribution in April is similar to that in the low-altitude aircraft data, with large contributions from East Asia, DMS oxidation, and volcanism. Local Arctic sources such as Prudhoe Bay, Norilsk, and the Kola Peninsula are important at Barrow and Zeppelin, but their influence does not extend to other sites or to the aircraft flight domain.

Observations at the High Arctic sites (Alert, Zeppelin, Barrow) show only weak seasonal variation from winter to spring, whereas Denali is distinctly lower in winter. We find in the model that the West Asian source is a major contributor to winter sulfate burdens at the High Arctic sites (30–45%), in agreement with back-trajectories for black carbon at Alert and Barrow (Sharma et al., 2006). This source is much less important at Denali, which is generally south of the Arctic front (Barrie and Hoff, 1984). Over Eurasia, the Arctic front in winter often extends as far south as 40°N (Barrie and Hoff, 1984; Stohl, 2006), thus encompassing the sources in the West Asian region. Isentropic transport from these sources to other regions within the Arctic front is enhanced by blocking anticyclones associated with the climatological Siberian high pressure system (Raatz and Shaw, 1984; Iversen and Joranger, 1985) and by limited precipitation (Barrie, 1986), while mixing across the Arctic front to areas further south is limited. Southward transport toward Denali is further inhibited by the Brooks Range (Quinn et al., 2002).

We find that West Asian sources are far more important than Arctic sources in contributing to sulfate concentrations at the Arctic sites in winter. This is because the lower latitudes of the West Asian emissions enables the SO<sub>2</sub> emitted there to be oxidized to sulfate even in winter. By contrast, oxidation of SO<sub>2</sub> emitted from Arctic sources (such as Norilsk and Prudhoe Bay) is restricted by darkness and cold clouds, and we find that most of that SO<sub>2</sub> is deposited rather than oxidized within the Arctic. Heterogeneous SO<sub>2</sub> oxidation mechanisms not included in our model could possibly cause a greater influence from Arctic sources (Alexander et al., 2009), although wintertime sulfate would then be overestimated at Zeppelin and Barrow (not at Alert). The “other” component of our source

attribution reflects in part the nonlinearity of the SO<sub>2</sub>–sulfate system under oxidant-limited conditions, as discussed above, and is largest in winter when oxidant limitation is most severe. This could also cause some underestimate of our Arctic source contribution.

All four sites in the model indicate a sharp seasonal transition in source influence from winter to spring, even though changes in total sulfate concentrations are relatively small. In April, the impact of West Asian emissions decreases dramatically at the High Arctic sites while the contributions from East Asia, North America, local Arctic sources, volcanism, and DMS oxidation grow. This transition reflects several processes associated with the end of polar night, including the dissipation of the Siberian High (Raatz and Shaw, 1984), the increase in local oxidant levels, the increase in biogenic DMS emissions (Quinn et al., 2007), and the increasing frequency of warm conveyor belt transport of pollution from East Asia to the Arctic (Liu et al., 2003). Without the West Asian source of SO<sub>2</sub>, we find in the model that sulfate concentrations in the High Arctic would be much lower in winter than in spring.

#### 4.3. Budget for the High Arctic

We used GEOS-Chem to construct a circumpolar budget of sulfate in the High Arctic (75–90°N), as shown in Fig. 7. Mean concentrations in April are up to 40% lower than along the aircraft flight tracks, reflecting both the greater remoteness and the targeting of plumes by the aircraft. Relative contributions from different sources are similar, although the European contribution is somewhat larger in the High Arctic while the North American contribution is smaller. The contribution from sources in the European Arctic (mainly Norilsk and the Kola Peninsula) is also somewhat larger although still very small, especially in the free troposphere.

In winter, sulfate sources in the High Arctic are more stratified than in spring (Fig. 7), reflecting the lack of vertical mixing. Consistent with our simulation of the surface sites, the low-altitude winter sulfate budget is dominated by West Asian emissions (32%) followed by European emissions (17%). No other source contributes more than 10%. Concentrations in the free troposphere are much lower than in the boundary layer due to limited poleward transport from sources south of the Arctic front in winter. In particular, prevailing transport from East Asia in winter is to the south (winter monsoon) rather than to the north (Liu et al., 2003). Above 5 km, the only substantive contributions to Arctic sulfate are from East Asia (31%), volcanism (20%), and DMS oxidation (15%).

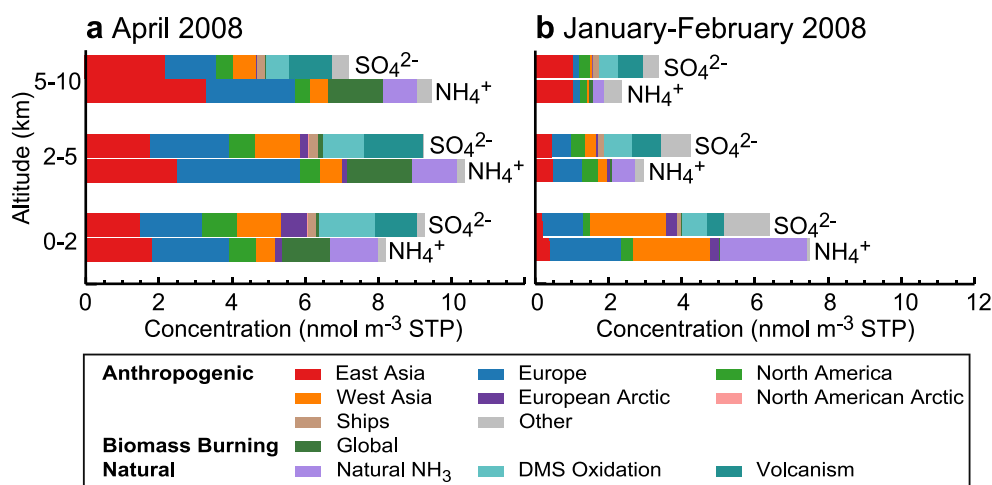


Fig. 7. GEOS-Chem budgets of sulfate and ammonium aerosols in the High Arctic (75–90°N) in (a) April 2008 and (b) January–February 2008. Aerosol concentrations from 10 different sources are shown for three altitude bands. Biomass burning refers to open biomass burning; biofuel is included in the anthropogenic source. The “other” anthropogenic term also includes minor non-linear effects in source attribution (see text).

Our sulfate source attribution in spring disagrees with the multi-model ensemble analysis of Shindell et al. (2008), which examined the relative sensitivity of Arctic sulfate to sources from North America, Europe, East Asia, and Southeast Asia (but did not consider West Asia). Rather than quantify the absolute burdens associated with each source as we have done here, the authors calculated the decrease in Arctic sulfate associated with a 20% decrease in emissions from each source region. While both approaches are valid, the difference in methodology means that our results can be compared qualitatively but not quantitatively. In contrast to our finding of similar contributions to Arctic surface sulfate from Europe and East Asia, their mean contribution from Europe was more than three times that from East Asia (although with a large spread between models; Shindell et al., 2008). This is because our European  $\text{SO}_2$  emissions ( $7 \text{ Tg S a}^{-1}$  for 2005) are much lower than those used in the Shindell et al. (2008) models ( $8\text{--}25 \text{ Tg S a}^{-1}$  for 2001, with a multi-model mean of  $18 \text{ Tg S a}^{-1}$ ). Smith et al. (2011) show a reduction of only 15–20% in European  $\text{SO}_2$  emissions from 2000 to 2005, so that cannot explain the difference. Substantially higher European  $\text{SO}_2$  emissions in our simulation would cause an overestimate of sulfate wet deposition in Europe (Section 3) larger than the  $\sim 30\%$  attributable to differences in wet removal mechanisms between models (Dentener et al., 2006).

## 5. Simulation and source attribution of Arctic ammonium

### 5.1. Aircraft data

Ammonium was measured during ARCTAS by both the AMS and the SAGA filters. Comparison of these two datasets shows a persistent bias. The two are well correlated ( $r = 0.91$ ), but the AMS ammonium is consistently lower than the SAGA ammonium, with a normalized mean difference of  $-31\%$ . Conversion of gas-phase  $\text{NH}_3$  by acidic aerosols on the filters (especially between sampling and analysis) may explain some of the AMS/SAGA discrepancy. We use the SAGA observations in what follows as they agree better with the concentrations observed during ARCPAC, although some difference might be expected due to location differences between the two aircraft. Using the AMS observations instead of SAGA would decrease observed ARCTAS ammonium concentrations by 30% relative to the values reported here but would not otherwise affect our conclusions. As for sulfate (Section 4.1), the data have been filtered to exclude stratospheric observations, biomass burning plumes, local pollution, and major outliers. For ammonium, outliers (defined by  $[\text{NH}_4^+] > 60 \text{ nmol m}^{-3} \text{ STP}$ ) include three data points during ARCTAS and six during ARCPAC. We attribute model ammonium to individual sources by conducting sensitivity simulations where we shut off  $\text{NH}_3$  emissions from each source while leaving  $\text{SO}_2$  emissions unchanged to prevent nonlinearities associated with sulfate availability.

Figs. 4b and 5b show that GEOS-Chem reproduces both the mean vertical structure and much of the variability of ammonium in the ARCTAS observations ( $r = 0.64$ ,  $\text{NMB} = -4.8\%$ ). Simulation of ammonium during ARCPAC indicates substantial model underestimates, especially below 5 km, as previously found for sulfate (Section 4.1), with  $r = 0.43$  and  $\text{NMB} = -19\%$ . As for sulfate, we cannot resolve the discrepancy between GEOS-Chem and ARCPAC in a manner consistent with the other datasets, and we view the ARCTAS data as more representative of the North American Arctic.

Vertical distributions shown in Fig. 5b indicate peak ammonium concentrations in the mid-troposphere and depletion in the boundary layer, with a larger vertical gradient than for sulfate. Because the aerosol was in general acidic (Section 6), ammonium can be regarded as representing total ammonia; gaseous ammonia was not measured on the aircraft but should be negligible based on

thermodynamics (Seinfeld and Pandis, 2006). The source influences for ammonium in the free troposphere are less complex than for sulfate, with more than 80% of Arctic ammonium originating from three sources: East Asian anthropogenic, European anthropogenic, and open biomass burning. The anthropogenic source is mainly from agriculture. East Asia is the largest source, accounting for 35–45% of modeled ammonium. Open biomass burning is responsible for 20–25%, which reflects the unusually intense Russian fire activity in April 2008 (Warneke et al., 2009, 2010; Fisher et al., 2010). Below 2 km, the North American anthropogenic and the natural contribution become comparable to the East Asian and European influences, similarly to sulfate. The larger gradient between the boundary layer and the free troposphere for ammonium reflects the greater relative contributions of East Asian and biomass burning sources, which are mainly transported to the Arctic in the free troposphere following lifting by warm conveyor belts (Stohl, 2006; Fisher et al., 2010).

### 5.2. Surface data

Ammonium data from surface sites (Fig. 6b) provide seasonal context for the aircraft data. There is a tendency for higher values in spring than winter but interannual variability is large. The model tends to overestimate observations in winter and this appears driven by the natural source. The GEIA natural  $\text{NH}_3$  source used in GEOS-Chem, originally described by Bouwman et al. (1997), includes both oceanic and continental (soil and crop decomposition) emissions. The continental source is dominant at mid-latitudes but there is a non-negligible ocean source in the Arctic including in particular wintertime emission from some areas normally covered by sea ice. It appears likely that the GEIA inventory overestimates oceanic  $\text{NH}_3$  emissions in the Arctic in winter and that this is the cause for the model ammonium overestimates at Barrow and Zeppelin.

We find in the model that anthropogenic sources in Europe and West Asia each contribute 20–30% of winter ammonium at Arctic surface sites, even though Europe is a much larger source of  $\text{NH}_3$  than West Asia (Fig. 1b, Table 1). This is because West Asian air masses are more readily transported to the Arctic around the Siberian High, as discussed previously for sulfate. In addition, a greater fraction of  $\text{NH}_3$  emitted from Europe remains as gaseous  $\text{NH}_3$  because of the high  $\text{NH}_3/\text{SO}_2$  emission ratio (Table 2) and is therefore effectively dry deposited (unlike the aerosol ammonium component) during transport to the Arctic.

The winter–spring transition in ammonium source contributions in the model is similar to that for sulfate. Dissipation of the polar front increases the influence from East Asia and suppresses the influence from West Asia. For ammonium, the transition is amplified by increased springtime agricultural emissions and biomass burning, whereas in the case of sulfate it was amplified by increased oxidant availability and oceanic biological activity.

### 5.3. Budget for the High Arctic

Our model budget for ammonium in the High Arctic in April 2008 (Fig. 7b) shows source contributions consistent with those derived from the aircraft campaigns. East Asian and European anthropogenic emissions contribute similarly at all altitudes, with additional contributions from biomass burning and natural sources. The European influence peaks in the Eurasian sector of the Arctic beyond the flight domain of the ARCTAS and ARCPAC aircraft, explaining the larger contribution from European emissions to ammonium in the High Arctic (25–35%) than during the aircraft campaigns (15–20%). The spatial heterogeneity of the European influence in spring was also seen in simulation of the surface sites

(Fig. 6), which showed more European ammonium at Zeppelin (25%) than Barrow (10%). There is less variation in the East Asian influence, which peaks in the free troposphere for both the aircraft campaigns and the High Arctic domain.

As for sulfate, ammonium is more stratified in winter than spring, with concentrations more than two times higher below 2 km than above. Consistent with simulation of the surface sites, the low-altitude winter ammonium budget reflects dominant contributions from European, West Asian, and natural sources, although the ocean component of the natural source is probably too high as previously discussed. At 2–5 km the ammonium concentrations represent a diverse mix of sources, while above 5 km East Asia is the single most important source.

## 6. Acidity of the Arctic aerosol

### 6.1. Aircraft data

The aerosol observed during the April 2008 aircraft campaigns ranged from highly acidic to fully neutralized. Fig. 8a shows the observed aerosol acidity as defined by the relationship of  $2[\text{SO}_4^{2-}] + [\text{NO}_3^-]$  versus  $[\text{NH}_4^+]$  (Zhang et al., 2007a). We define the mean neutralized fraction as  $f = [\text{NH}_4^+]/(2[\text{SO}_4^{2-}] + [\text{NO}_3^-])$  with all concentrations in molar units. We include nitrate for anion closure, but observed nitrate concentrations were generally very small relative to sulfate, with median (interquartile) values of 2.0 (1.2–3.3)  $\text{nmol m}^{-3}$  STP during ARCTAS and 0.9 (0.2–2.7)  $\text{nmol m}^{-3}$  STP during ARCPAC. Even when sulfate was neutralized ( $f > 0.9$ ), nitrate contributed on average only 15% of the total anion concentration. Thus  $f = 1$  implies an  $(\text{NH}_4)_2\text{SO}_4$  sulfate aerosol (solid or aqueous), while  $f = 0.5$  implies an  $\text{NH}_4\text{HSO}_4$  sulfate aerosol in the bulk. Observations with  $f > 1$  (excess aerosol ammonium) cannot be reconciled with sulfate–nitrate–ammonium aerosol thermodynamics, but are possible due to the neutralization of organic acids with ammonia (e.g., Dinar et al., 2008; Mensah et al., 2011). These data are also within the precision of the ARCPAC AMS measurement ( $\pm 35\%$ ). These values were mainly associated with biomass burning plumes (identified on the basis of acetonitrile concentrations), where sulfate should be fully neutralized because of the large  $\text{NH}_3$  source and where large organic aerosol concentrations and organic acid aerosol markers could result in some additional uptake of ammonium.

We see from Fig. 8a that the aerosol was most acidic below 2 km, with median neutralized fraction in the observations of  $f = 0.53$  for ARCTAS and  $f = 0.50$  for ARCPAC. We find no mean vertical gradient in aerosol acidity above 2 km and thus lump those points together in Fig. 8. The aerosol above 2 km was still predominantly acidic, with median observed neutralized fractions of  $f = 0.69$  for ARCTAS and  $f = 0.65$  for ARCPAC. The vertical gradient in acidity is due to large free tropospheric sources of  $\text{NH}_3$  from East Asia and biomass burning, as discussed in Section 5. Fig. 8b shows that GEOS-Chem provides a good simulation of the aerosol acidity along the flight tracks, although it slightly underestimates the median neutralized fractions both below 2 km (ARCTAS:  $f = 0.45$ , ARCPAC:  $f = 0.40$ ) and above (ARCTAS:  $f = 0.60$ , ARCPAC:  $f = 0.66$ ). The underestimates are largest near the surface, where GEOS-Chem does not simulate the neutralized population observed during ARCPAC, consistent with the low-altitude sulfate overestimates and ammonium underestimates seen in April in the aircraft and surface data (Figs. 5 and 6). Observations with  $f > 1$  cannot be predicted by the model.

We used the GEOS-Chem sensitivity simulations with suppressed  $\text{SO}_2$  and  $\text{NH}_3$  emissions from individual source regions to interpret the aerosol acidity observed during ARCTAS and ARCPAC. The simulated aerosol neutralization signatures from the four major anthropogenic source regions (East Asia, Europe, West Asia, and North America) are shown in Fig. 9 as scatter plots of the reductions in sulfate and ammonium along the aircraft trajectories that arise from suppressing each source in the model. Aerosol from North America and West Asia is more acidic than aerosol from East Asia and Europe due to lower  $\text{NH}_3/\text{SO}_2$  emission ratios (Table 2). Averaged over both campaigns, neutralized fractions in the model are  $f = 0.99, 0.75, 0.51$ , and  $0.41$  for the aerosol originating from East Asia, Europe, West Asia, and North America, respectively. The aerosol acidity source attribution in the model helps to explain the observed vertical gradient in aerosol acidity in Fig. 8. The East Asian influence peaks above 2 km, supplying neutralized aerosol to the free troposphere, while the highly acidic North American aerosol is largely confined below 2 km (Fig. 5).

### 6.2. Surface data

The high acidity of the low-altitude aerosol during the aircraft campaigns is consistent with observations at surface sites. In April 2008, the observed surface-level aerosol neutralized fractions were

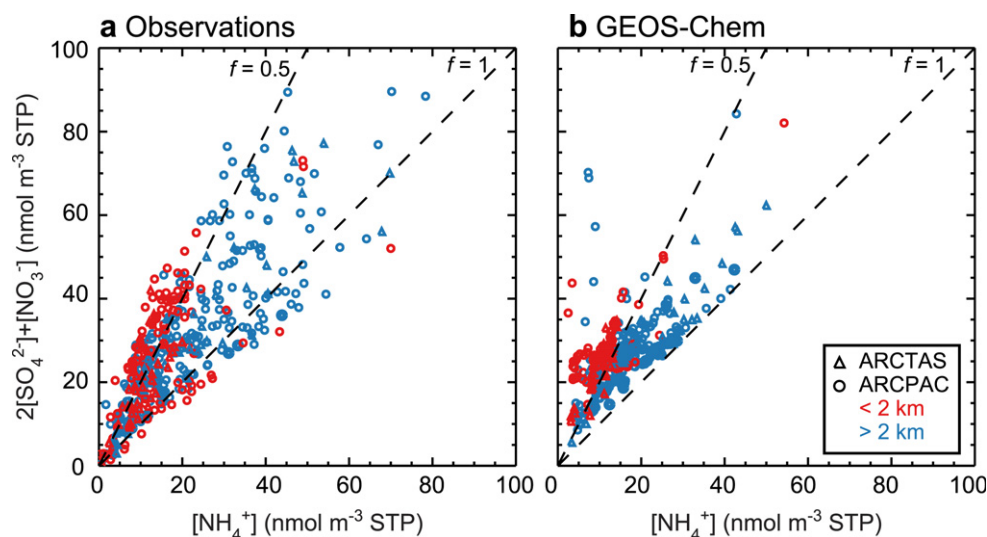
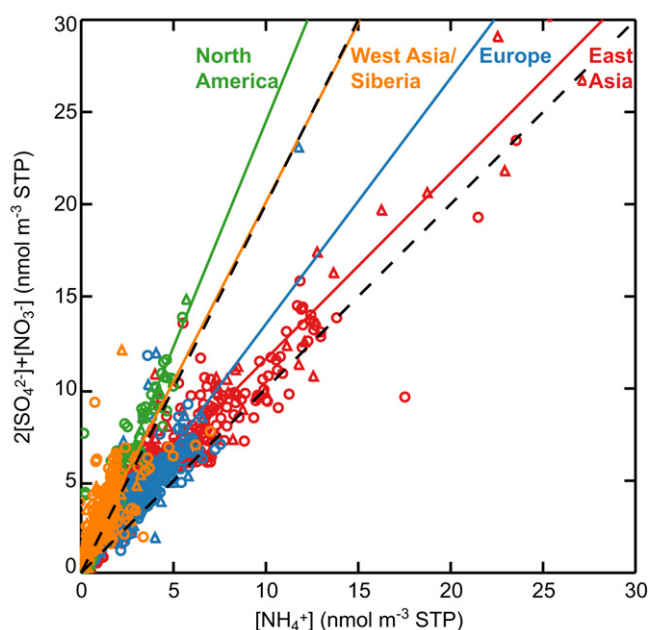


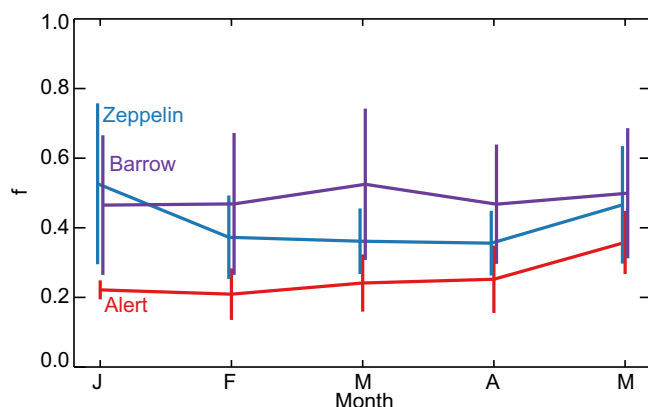
Fig. 8. Scatter plots of (a) observed and (b) modeled acid aerosol neutralization during ARCTAS and ARCPAC, as given by the  $2[\text{SO}_4^{2-}] + [\text{NO}_3^-]$  versus  $[\text{NH}_4^+]$  relationship. Dashed lines indicate the degree of aerosol neutralization, with fully neutralized aerosols falling along the  $f = 1$  line.



**Fig. 9.** Scatterplot of the aerosol neutralization fraction for aerosol originating from the four major anthropogenic source regions in the GEOS-Chem simulation of the ARCTAS and ARCPAC aircraft data in April 2008. Colored lines show the reduced-major-axis linear regressions. Dashed lines indicate the  $f = 0.5$  and  $f = 1$  lines, as in Fig. 8.

$f = 0.36$  at Alert,  $f = 0.39$  at Zeppelin, and  $f = 0.40$  at Barrow. Modeled neutralized fractions were  $f = 0.41$  at Alert,  $f = 0.36$  at Zeppelin, and  $f = 0.43$  at Barrow. Fig. 10 indicates little seasonal variation over winter–spring in aerosol neutralization at any of the sites in the five-year mean. Averaged over January–May for 2004–2008, observed aerosol is most acidic at Alert (mean  $f = 0.26$ ) and most neutralized at Barrow (mean  $f = 0.49$ ); however, this spatial gradient was not evident in 2008 when both model and observations indicate similar neutralization at both sites.

Long-term observations at Barrow and Alert show conflicting trends in aerosol acidity. At Barrow, January–April ammonium decreased more rapidly than sulfate between 1998 and 2008, leading to a decrease in the ammonium-to-sulfate ratio of  $6\% \text{ a}^{-1}$  (significance of 0.01) and implying an increasingly acidic aerosol (Quinn et al., 2009). In contrast, at Alert there was no significant trend in ammonium, sulfate, or the ammonium-to-sulfate ratio over this 10-year period, implying no change in aerosol



**Fig. 10.** 2004–2008 monthly means and interannual standard deviations of aerosol neutralized fraction ( $f = [\text{NH}_4^+]/(2[\text{SO}_4^{2-}] + [\text{NO}_3^-])$ ) observed at Zeppelin (blue), Barrow (purple), and Alert (red). (For the interpretation of the reference to colour in this figure, the reader is referred to the web version of this article.)

neutralization there. Acidic West Asian emissions provide a major source of sulfate to Barrow but are less important at Alert, in part because deposition is higher en route to Alert due to the more direct, surface-level transport (Sharma et al., 2004, 2006). In both Kazakhstan and Russia, coal production grew by 20–40% and petroleum by 50–80% between 2000 and 2007 (IEA Statistics, 2009; United Nations Statistics Division, <http://unstats.un.org/unsd/industry/>). This growth may mask decreases in  $\text{SO}_2$  from Europe and North America, accounting for the slower decrease in sulfate relative to ammonium observed at Barrow.

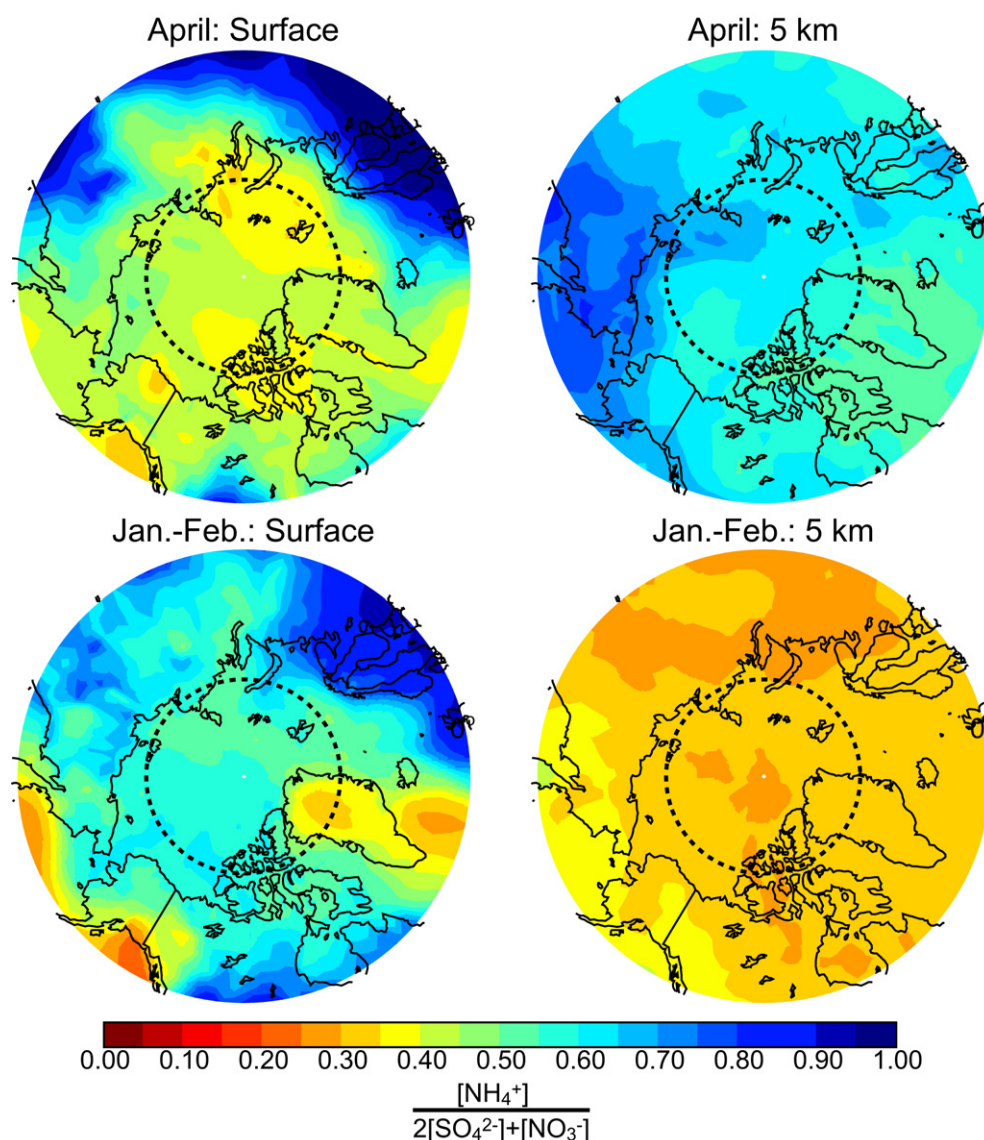
### 6.3. Pan-Arctic perspective

Fig. 11 shows the mean model distributions of aerosol neutralized fraction in surface air and the free troposphere (5 km) for winter (Jan–Feb) and spring (April). Patterns of aerosol acidity in April are consistent between the aircraft flight tracks and the High Arctic in general, with more acidic aerosol at the surface than above. The most acidic aerosol is found in surface air over northern Eurasia where both West Asian sources and Norilsk have a major influence. Over Russia and Scandinavia, there is a strong meridional gradient in aerosol neutralization. This marks the edge of the polar front, which during April 2008 typically extended to at least  $60^\circ\text{N}$  and often further south over Eurasia (Fuelberg et al., 2010). Small areas of high acidity are also evident near local sulfate sources at Prudhoe Bay in Alaska and Norilsk in Russia. In the free troposphere, the aerosol is weakly acidic ( $f \approx 0.6$ ) across the High Arctic. More neutralized air is found over eastern Siberia and the Bering Sea, where the contributions from biomass burning and East Asian emissions are largest.

We find that the free troposphere is much more acidic in winter ( $f \approx 0.3$ ) than spring, and that the vertical gradient in aerosol acidity is reversed. Free tropospheric aerosol concentrations in winter are low, and high acidity arises from the contributions of volcanism and DMS (Fig. 7), with low Arctic emissions of the latter compensated by higher wind speeds and transport from further south. Modeled neutralization in High Arctic surface air in winter is promoted by high oceanic  $\text{NH}_3$  emissions in the Arctic basin. This seasonal trend of increasing surface acidity from winter to spring is not seen in the observations (Fig. 10), again suggesting that these oceanic  $\text{NH}_3$  emissions are too high in the model as previously discussed. The acidity maxima over the northern Atlantic and Pacific in winter reflect high surface wind speeds that drive  $\text{NH}_3$  dry deposition over the oceans. Arctic sulfur emissions from Norilsk and Prudhoe Bay, which produced hot-spots of aerosol acidity in April, are less manifest in winter because of the slower  $\text{SO}_2$  oxidation. The influence from West Asia, on the other hand, is evident in the widespread region of acidity over Eurasia that extends to lower latitudes within the polar front.

According to the Intergovernmental Panel on Climate Change (IPCC), global  $\text{SO}_2$  emissions are expected to decrease over the coming decades while  $\text{NH}_3$  emissions are expected to increase (RCP Database, <http://www.iiasa.ac.at/web-apps/tnt/RcpDb/>). Thus the Arctic aerosol should become increasingly neutralized. However, growth in West Asian energy production is projected for at least the next five years (Klotsvog et al., 2009) and could increase the acidity of the surface aerosol over the short-term horizon as observed by Quinn et al. (2009).

The extent of sulfate neutralization has implications for the properties of Arctic clouds in winter and spring. The formation and stability of mixed-phase Arctic clouds are highly sensitive to ice nuclei concentration (Harrington et al., 1999; Jiang et al., 2000; Harrington and Olsson, 2001). Arctic air masses with elevated sulfate concentrations have been shown to be depleted in ice nuclei relative to clean air in spring (Borys, 1989), which Girard et al.



**Fig. 11.** Maps of mean aerosol neutralized fraction ( $f = [\text{NH}_4^+]/(2[\text{SO}_4^{2-}] + [\text{NO}_3^-])$ ) simulated by GEOS-Chem in surface air and at 5 km altitude for April and January–February 2008. The black dashed line marks the limit of the High Arctic at 75°N.

(2005) found to result in larger ice crystal sizes and enhanced ice precipitation followed by tropospheric dehydration. The dehydration reduces absorption of longwave radiation and cools the atmosphere (Blanchet and Girard, 1995; Curry, 1995), further increasing the dehydration rate (Girard et al., 2005). This relationship results in a positive feedback known as the dehydration-greenhouse feedback (DGF) that can cool the Arctic surface by as much as  $-3^\circ\text{C}$  (Girard and Stefanof, 2007). Neutralization of sulfate by ammonium may decrease the efficacy of this feedback cycle by providing an increased source of ice nuclei. At the temperatures and relative humidities characteristic of the Arctic free troposphere, ammonium sulfate particles are expected to be predominantly in the solid phase, even accounting for metastability hysteresis (Wang et al., 2008a). Ammonium sulfate can therefore serve as heterogeneous ice nuclei under conditions unfavorable to homogeneous nucleation on sulfate particles (Abbatt et al., 2006; Wise et al., 2009; Baustian et al., 2010). An increased population of ammonium sulfate particles in the Arctic in the future may lead to increased ice nuclei formation, reduced dehydration, and enhanced Arctic warming.

## 7. Conclusions

We used observations from the ARCTAS and ARCPAC aircraft campaigns in April 2008 together with longer-term records from Arctic surface sites to better understand the sources of sulfate–ammonium aerosol in the Arctic in winter–spring and the implications for Arctic aerosol acidity. Aerosol concentrations in the Arctic are particularly high in winter–spring. Sulfate is a dominant component of this aerosol, and its neutralization by ammonium has important implications for climate forcing. Our analysis was based on simulations of observations with the GEOS-Chem chemical transport model, including sensitivity simulations to diagnose the contributions from different source regions and source types to aerosol concentrations and acidity.

Observed wet deposition fluxes of sulfate and ammonium in the U.S., Europe, and East Asia in April 2008 were used to test the emissions of  $\text{SO}_2$  and  $\text{NH}_3$  from these continental source regions in GEOS-Chem. Results showed good agreement except for ammonium over the Midwest U.S., where spring agricultural emissions are apparently underestimated. Using the  $\text{SO}_2/\text{NH}_3$  emission ratio and the  $\text{SO}_4^{2-}/\text{NH}_4^+$

wet deposition flux ratio, we found that spring emissions are conducive to full neutralization by large  $\text{NH}_3$  inputs from agricultural activity in both Europe ( $E_{\text{NH}_3}/2E_{\text{SO}_2} = 1.3 \text{ mol mol}^{-1}$ ) and East Asia ( $E_{\text{NH}_3}/2E_{\text{SO}_2} = 1.2 \text{ mol mol}^{-1}$ ), whereas emissions in the U.S. should lead to much more acidic aerosol ( $E_{\text{NH}_3}/2E_{\text{SO}_2} = 0.3 \text{ mol mol}^{-1}$ ).

Sulfate concentrations in the aircraft observations were relatively uniform through the depth of the troposphere, and this is well simulated with the model. The model shows that a diversity of sources contribute to sulfate burdens in spring, with major contributions at all altitudes from East Asian and European anthropogenic sources, oxidation of DMS, and volcanic emission. North American anthropogenic emissions are also important below 2 km. Surface sites north of the Arctic front (Barrow, Alert, Zeppelin) show little variation of total sulfate from winter to spring, consistent with the model, but the model indicates an important seasonal shift in source attribution with non-Arctic West Asian sources (southwest Russia and Kazakhstan) dominating in winter. This strong West Asian influence dissipates in the spring with the northward contraction of the polar front, to be replaced by increasing sulfate contributions from East Asia and DMS emissions. We find that industrial sources of  $\text{SO}_2$  in the Arctic (Norilsk, Kola Peninsula, Prudhoe Bay) make little contribution to the Arctic sulfate budget.

Our finding of non-Arctic West Asia (southwest Russia and Kazakhstan) as a major source region for Arctic sulfate in winter, distinct from the well-known sources in northwest Russia and Siberia, does not seem to have been recognized before. Sharma et al. (2006) show back-trajectories for black carbon at Alert that also point to a significant source from that region. Oil fields and industrial centers in that region are a large and growing source of  $\text{SO}_2$ . These emissions are released at low enough latitudes to enable oxidation of  $\text{SO}_2$  in winter but are still within the boundary of the Arctic front (which over Eurasia can extend as far south as  $40^\circ\text{N}$  in winter; Barrie and Hoff, 1984), facilitating rapid low-altitude transport to the Arctic. By contrast, oxidation of  $\text{SO}_2$  emitted from Arctic industrial sources is limited in winter by darkness and cold clouds. West Asian emissions are highly uncertain and more work is needed to quantify them in view of their apparent importance as a source of Arctic sulfate.

Ammonium concentrations observed during ARCTAS and ARCPAC were higher in the free troposphere than in the boundary layer. The source influences in spring are less complex than for sulfate, with 80% of free tropospheric ammonium originating from a mix of biomass burning and East Asian and European anthropogenic emissions. Biomass burning and East Asian influences are stronger in the free troposphere due to lifting in warm conveyor belts over the Pacific. Surface sites show a general tendency for higher ammonium concentrations in spring than winter due to increased  $\text{NH}_3$  emission associated with the onset of agricultural fires and fertilizer application. The model overestimates observed winter ammonium and therefore aerosol neutralization at the surface sites, likely because of poor representation of sea ice suppression of oceanic  $\text{NH}_3$  emission in the GEIA inventory of Bouwman et al. (1997). Work is needed to better quantify oceanic  $\text{NH}_3$  emissions and their seasonal variation.

The aircraft data indicate predominantly acidic aerosol throughout the depth of the Arctic troposphere in spring, with higher acidity below 2 km (median neutralized fraction  $f = [\text{NH}_4^+]/(2[\text{SO}_4^{2-}] + [\text{NO}_3^-]) = 0.5$ ) than above (median  $f = 0.7$ ). Observed acidity at surface sites is even higher ( $f = 0.4$ ). This gradient reflects the preferential transport of neutralized biomass burning and East Asian aerosol in the free troposphere. Simulation with GEOS-Chem indicates that the free troposphere is more acidic in winter than in spring, and natural emissions play a major role in driving this

seasonality. DMS oxidation and volcanic emission provide a source of sulfate throughout the troposphere that is not matched by natural  $\text{NH}_3$  emission. At the surface, observations show no seasonal variation in aerosol neutralization from winter to spring.

Source neutralization signatures computed from GEOS-Chem and consistent with observations indicate that East Asia and Europe provide neutralized aerosol to the Arctic, while West Asia is the dominant source of acidic aerosol. Our results help explain observed long-term trends in aerosol acidity at surface sites. Observations from Barrow show increasing acidity over the last decade due to more rapid decreases in ammonium than sulfate (Quinn et al., 2008), while there has been no change in aerosol acidity at Alert. Because Barrow is more heavily influenced by acidic West Asian sources than Alert, the impacts at Barrow of recent decreases in  $\text{SO}_2$  emissions from North America and Europe may have been masked by concurrent increases in emissions from coal and petroleum production in Russia and Kazakhstan. While further growth in this region is expected over the next few years (Klotsvog et al., 2009), longer-term projections suggest global decreases in  $\text{SO}_2$  emissions over the next decades together with increases in  $\text{NH}_3$  emissions (RCP Database, <http://www.iiasa.ac.at/web-apps/tnt/RcpDb/>). The resultant increase in the concentration of ammonium sulfate aerosols may lead to enhanced ice nuclei formation, initiating a dehydration-greenhouse feedback that could accelerate warming in the Arctic.

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